

12-07-01

A

PTO/SB/17 (10/01)

Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

12/04/01

11064 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No.

P5994

First Inventor

David G. Gayle

Title

SERVICE FACILITATOR FOR
AUTOMATING OBJECT CONVERSIONS
AND COMMUNICATION CONNECTIONS IN
CLIENT-SERVER SYSTEMS

Express Mail Label No.

EL 791518998 US

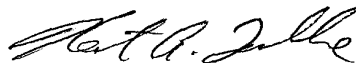
APPLICATION ELEMENTSAssistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification [total pages 24]
(preferred Arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a
computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) [total sheets 3]
5. ☒ Oath or Declaration [total sheets 2]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from prior appl. (37 C.F.R. § 1.63(d))
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. (See 37 CFR 1.76)
7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form
b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (coversheet/document(s))
10. ☐ 37 CFR. 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document
12. ☐ IDS & Form 1449 ☐ Copies of IDS
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document(s)
16. ☐ Request & Certification under 35 USC
122(b)(2)(B)(i)
17. ☒ Other: Certificate of Mailing by Express Mail

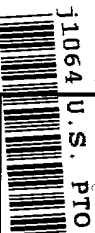
18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: /Prior application information: Examiner: Group/Art Unit: FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a
part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a
portion has been inadvertently omitted from the submitted application parts**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label or ☒ Correspondence address below

Name	William J. Kubida, Esq.				
	Hogan & Hartson, LLP				
Address	1200 17 th Street, Suite 1500				
City	Denver	State	CO	ZIP	80202
Country	US	Telephone	(719) 448-5900	Fax	(719) 448-5922
Name (Print/Type)	Kent A. Lembke		Registration No.	44,866	
(Signature)				Date	12/04/01

10/004576
10/04/01

12/04/01

12/04/01



FEE TRANSMITTAL for FY 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

TOTAL AMOUNT OF PAYMENT (\$) **(\$)** **780.00**

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	David Gayle, et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	P5994

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

50-1123

Deposit
Account
Name

Hogan & Hartson L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740	201 370	Utility Filing Fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) **(\$)** **740.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
19	-20**=		0
Independent Claims	3	-3**=	0
Multiple Dependent			0

**or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$)** **0.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entry Fee Code	Small Entry Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)** **40.00**

SUBMITTED BY

Name (Print/Type) **Kent A. Lembke**

Registration No.
(Attorney/Agent)

44,866

Complete (if applicable)

Telephone

(720) 406-5378

Signature

Kent A. Lembke

Date

12/04/01

EXPRESS MAIL NO. EL 791518998 US
Attorney Docket No. P5994
Client/Matter No. 80168.0245

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David G. Gayle, et al.

Serial No. NEW

Filed: Herewith

Group Art Unit: [Not Yet
Assigned]

Examiner: [Not Yet Assigned]

For: **SERVICE FACILITATOR FOR
AUTOMATING OBJECT CONVERSIONS AND
COMMUNICATION CONNECTIONS IN
CLIENT-SERVER SYSTEMS**

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION
Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

- Utility Patent Application Transmittal
- Utility Patent Application
 Specification (24 pages)
 Drawings (3 sheets)
- Executed Combined Declaration and Power of Attorney
- Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee
 of \$40.00
- Fee Transmittal with check in the amount of \$780.00
- Certificate of Mailing By Express Mail
- Return Receipt Post Card

relating to the above application, were deposited as "Express Mail", Mailing Label
No. EL791518998US, with the United States Postal Service, addressed to Box PATENT
APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231, December 4,
2001.

12/04/01

Date

12/04/01

Date



Mailer



Kent A. Lembke

Reg. No. 33,940
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax